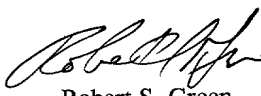


TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER NAG-112
		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.55) <b>09/857967</b>
INTERNATIONAL APPLICATION NO. PCT/JP00/00073	INTERNATIONAL FILING DATE 11 January 2000	PRIORITY DATE CLAIMED 13 January 1999 & 12 November 1999
TITLE OF INVENTION: <b>METHOD AND DEVICES FOR PEENING AND CLEANING METAL SURFACES</b>		
APPLICANT(S) FOR DO/EO/US <b>Hitoshi SOYAMA</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>Items 11 to 16 below concern either document(s) or information included:</p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> In Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A FIRST preliminary amendment. <ol style="list-style-type: none"> <li><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</li> </ol> </li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items: Applicant claims Small Entity.</li> </ol>		

U.S. APPLICATION NO. <b>09/857967</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/00073</b>		ATTORNEY'S DOCKET NUMBER <b>NAG-112</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted:  <b>Basic National Fee (37 CFR 1.49(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO.....  International preliminary examination fee paid to USPTO (37 CFR 1.482)..... No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				CALCULATIONS	PTO USE ONLY
				\$ 860	
				\$ 860	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(e)).				\$	
Claims	Number Filled	Number Extra	Rate		
Total 25 Claims	25-20=	5	X \$18	\$ 90	
Independent 8 Claims	8-3=	5	X \$80	\$ 400	
Multiple dependent claim(s) (if applicable)			+ \$270	\$	
<b>TOTAL OF ABOVE CALCULATIONS</b>				=	\$ 1350
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				=	\$ 675
<b>SUBTOTAL</b>				=	\$ 675
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(f)).				+	\$
<b>TOTAL NATIONAL FEE</b>				=	\$ 675
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
<b>TOTAL FEES ENCLOSED</b>				=	\$
				Amount to be refunded	\$
				charged:	\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>18-0013</u> in the amount of \$ 675.00 cover the above fees. A duplicate of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0013</u> . A duplicate copy of this sheet is enclosed.  <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: Rader, Fishman & Grauer, L.P.P.C. 1233 20 <sup>th</sup> Street, N.W., Suite 501 Washington, DC 20036  Dated: June 13, 2001					
				 Robert S. Green NAME 41,800 REGISTRATION NUMBER	

NAG-112

PCT SECTION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the U.S. Nationalization  
Application of PCT/JP00/00073

Hitoshi SOYAMA

Application No. To Be Assigned

Filed: June 13, 2001

For: METHOD AND DEVICES FOR PEENING AND CLEANING METAL SURFACES

**PRELIMINARY AMENDMENT**

Commissioner of Patents  
Washington, DC 20231

Sir:

Prior to the initial examination, please amend the above-identified application as follows:

**IN THE CLAIMS:**

Please rewrite claims 4-6, 9-13, 18, 19, 21 and 22 as set forth below in clean form below. Additionally, in accordance with 37 CFR § 1.121(c)(1)(ii), amended claims 4-6, 9-13, 18, 19, 21 and 22 are set forth in a marked-up version in the pages attached hereto as APPENDIX.

--4. A metal part and other surface modification and cleaning method according to Claim 2, in which a substance with different acoustic impedance is inserted between said first and second vessels.--

--5. A metal part and other surface modification and cleaning method according to Claim 2, in which the fluid in said first vessel has its temperature controlled by controlling the temperature of the fluid that fills the space between said first and second vessels.--

--6. A metal part and other surface modification and cleaning method according to Claim 1, in which the cavitating jet to be injected into said first vessel is sent to the cooling means from said first vessel 1 and returned to a cavitating jet pump after being cooled in said cooling means.--

--9. A metal part and other surface modification device according to Claim 7, in which said second vessel is configured to have a larger depth than a height of said first vessel.--

--10. A metal part and other surface modification device according to Claim 7, in which a substance with different acoustic impedance is arranged between said first and second vessels.--

--11. A metal part and other surface modification device according to Claim 7 through 9 above, in which the lid on said first vessel is closed with a specified force.--

--12. A metal part and other surface modification device according to Claim 7 above, in which a means of heating or cooling the fluid in said second vessel is provided.--

--13. A metal part and other surface modification device according to Claim 7, in which said part to be treated is loaded on a carriage means to carry such part to be treated.--

--18. A metal part and other surface modification and cleaning device according to Claim 16, in which such device is so configured as to control the pressure of the fluid in said first vessel by such a fluid pressure regulator means as a valve or the like.--

--19. A metal part and other surface modification and cleaning device according to Claim 16, in which said part to be treated is immersed in the fluid in a second vessel.--

--21. A metal part and other surface modification and cleaning device according to Claim 7, in which a means of cooling the cavitating jet fluid to be introduced into said first vessel is provided.--

--22. A metal part and other surface modification and cleaning device Claim 16, in which a pressurized fluid is poured into said first vessel as if it surrounded the cavitating jet fluid.--

**REMARKS**

This Preliminary Amendment is requested prior to the initial examination of the above-identified patent application to replace specification and to eliminate multiple dependency. If the Examiner has any suggestions for placing this application in even better form, the Examiner is invited to telephone the undersigned and the number listed below.

Respectfully submitted,



Robert S. Green  
Reg. No. 41,800

Date: June 13, 2001

**RADER, FISHMAN & GRAUER, PLLC**

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Tel: (202) 955-3750  
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Customer No. 23353

**APPENDIX****VERSION WITH MARKINGS TO SHOW CHANGES MADE****In the Claims:**

Claims has been amended as follows:

4. A metal part and other surface modification and cleaning method according to Claim 2 [or 3 above], in which a substance with different acoustic impedance is inserted between said first and second vessels.

5. A metal part and other surface modification and cleaning method according to [ant one of] Claim[s] 2 [through 4], in which the fluid in said first vessel has its temperature controlled by controlling the temperature of the fluid that fills the space between said first and second vessels.

6. A metal part and other surface modification and cleaning method according to [either one of] Claim[s] 1 [through 5 above], in which the cavitating jet to be injected into said first vessel is sent to the cooling means from said first vessel 1 and returned to a cavitating jet pump after being cooled in said cooling means.

9. A metal part and other surface modification device according to [any one of] Claim[s] 7 [6 through 8 above], in which said second vessel is configured to have a larger depth than a height of said first vessel.

10. A metal part and other surface modification device according to [any one of] Claim[s] 7 [6 through 9], in which a substance with different acoustic impedance is arranged between said first and second vessels.

11. A metal part and other surface modification device according to [any one of] Claim[s] 7 [6 through 9 above], in which the lid on said first vessel is closed with a specified force.

12. A metal part and other surface modification device according to [any one of] Claim[s] 7 [6 through 11 above], in which a means of heating or cooling the fluid in said second vessel is provided.

13. A metal part and other surface modification device according to [any one of] Claim[s] 7 [6 through 12 above], in which said part to be treated is loaded on a carriage means to carry such part to be treated.

18. A metal part and other surface modification and cleaning device according to [either] Claim 16 [or 17 above], in which such device is so configured as to control the pressure of the fluid in said first vessel by such a fluid pressure regulator means as a valve or the like.

19. A metal part and other surface modification and cleaning device according to [any one of] Claim[s] 16 [through 18 above], in which said part to be treated is immersed in the fluid in a second vessel.

21. A metal part and other surface modification and cleaning device according to [any one of] Claim[s] 7 [through 20 above], in which a means of cooling the cavitating jet fluid to be introduced into said first vessel is provided.

22. A metal part and other surface modification and cleaning device according to [any one of] Claim[s] 16 [through 21], in which a pressurized fluid is poured into said first vessel as if it surrounded the cavitating jet fluid.

9/PR+5

09/857967

JC03 Rec'd PCT/PTO 13 JUN 2001

# SPECIFICATION

## METHOD AND DEVICES FOR PEENING AND CLEANING METAL SURFACES

### Field of Art:

This invention relates to a method of peening metal part surfaces, such as gears,  
5 springs, and molds, and to a device in which the method is implemented. More specifically, it  
relates to a metal part surface modification and cleaning method and the device using this  
method which is especially suitable for the machining industry where shot peening is  
typically used to improve metal part surfaces, for example, to form compressive residual, or  
surface stresses, enhance fatigue strength, harden the workpiece and for use where parts need  
10 to be cleaned.

### Background of the Art:

Conventionally, shot peening has been used to improve various metal part surfaces by  
forming, by example, compressive residual stresses, enhance fatigue strength, harden the  
workpiece.

15 More recently, to impede stress corrosion cracking and protect materials in critical  
applications, such as a nuclear reactor vessel, against such cracking, there is also a technique  
available to suppress the residual stresses on the surface of a workpiece using cavitation  
generated by injecting compressed water into water via a nozzle comprising two or more  
throats.

20 This technique to improve metal part surfaces, however, has been disclosed as using  
the collapsing impact force of cavitation. Nevertheless, it has been successfully used and has  
been confused with a "general water jet", which has a "cavitating jet" that is injected into the  
air.

In other words, the use of the "general water jet" has assumed that the surface peening  
25 level, for example, introduced residual stress value, improved fatigue strength level, surface



hardening grade, etc. is dependent upon the pressure of the water injected. On such an assumption, an expensive high-pressure pump is employed to increase the pump discharge pressure. However, satisfactory treatment capability has remained unattainable from the viewpoint of surface treatment. Furthermore, there have been some other problems awaiting solution. The factors which may govern a cavitation collapsing impact force in the surface modification process are not yet fully understood. Additionally, neither the collapsing impact force of the cavitation bubble nor the cavitation jet's surface treatment effect have been effectively utilized.

#### Summary of the invention

The inventor of the disclosure specified herein has therefore proceeded with studies on the collapsing impact force of the cavitation bubble and on the cavitating jet's surface modification phenomenon. As a result, it has been verified that the collapsing impact force of the cavitation bubble and the cavitating jet's surface modification effect for example, improving residual stresses, hardening the workpiece and enhancing fatigue strength, are dependent upon not only the pressure of the pressurized water but also on the pressure of the water tank in which the workpiece is placed, that for the ratio of pressurized water pressure to water tank pressure an optimum value exists, that the cavitation collapsing impact force increases and decreases according to the temperature of the fluid, and that the cavitation collapsing impact force could be increased if the conditions referred to above were satisfied.

The present invention has been made, based on such knowledge referred to above. The workpiece to be treated is located in a tank filled with a fluid, such as water or oil. The workpiece is treated by injecting a cavitating jet. To increase the cavitating jet's treatment capability, the tank in which the workpiece is located is pressurized and the pressurization is controlled in a short time. Thus, the present invention provides a method and device for peening and cleaning metal part or other surfaces, to improve the surface of a metal part.

Furthermore, to inject a cavitating jet onto the workpiece to be treated, a freely movable pressurizing vessel is provided for peening and cleaning the surfaces of metal and other parts which are capable of treating the surface of a large-sized structure.

A pressurizing section can be placed in a pipe to inject a cavitation jet. Thus, the present invention provides a method and device for peening and cleaning the surfaces of metal and other parts, which would allow the internal surface of the pipe to be treated and cleaned while moving the section along the internal surface of the pipe.

The present invention aims to use the above-mentioned cleaning method and device to solve the problems mentioned above.

#### Disclosure of the Invention:

Accordingly, the problem-solving means employed in the present invention include a metal part and other surface modification and cleaning method, in which the part to be treated is placed within first vessel 1 which is filled with a fluid, which flows in first vessel 1 located at a distance from the surface of said part and flows from first vessel 1, with this fluid's flow rates controlled to pressurize first vessel 1 to increase the collapsing impact force of the cavitation bubble, which in turn applies a peening effect to the surface of the part to strengthen and clean the surface of the treated part.

A metal part and other surface modification and cleaning method, in which the part to be treated is placed within the first vessel 1, which is filled with a fluid, and first vessel 1 is placed within second vessel 3 which filled with a fluid to generate cavitation by injecting pressurized fluid from a nozzle distant from said part on the surface so that the collapsing impact force of the cavitation bubble may be used to strengthen and clean the surface of the treated part by applying a peening effect to the surface of the part.

A metal part and other surface modification and cleaning method, in which the first vessel 1 is pressurized by controlling the flow rates of both fluids flowing in and out of said

first vessel 1 to increase the collapsing impact force of the cavitation bubbles to strengthen and clean the treated part by applying a peening effect under such impact force.

A metal part and other surface modification and cleaning method, in which a substance with different acoustic impedance is inserted between first and second vessels.

5 A metal part and other surface modification and cleaning method, in which the temperature of the fluid in said first vessel 1 is controlled by controlling the temperature of the fluid that fills the space between first and second vessels.

10 A metal part and other surface modification and cleaning method, in which the cavitating jet to be injected into first vessel 1 is sent to a cooling means from first vessel 1 and returned to a cavitating jet pump after being cooled by the cooling means.

15 A metal part and other surface modification device composed of the first vessel 1 capable of accommodating the part to be treated, a lid that hermetically closes the first vessel 1, the second vessel 3 capable of accommodating the first vessel 1, a nozzle to inject a pressurized fluid into the pressurized fluid, a flow control valve to control the jet pressure from the nozzle and a pressure control valve to control the fluid pressure in first vessel 1.

A metal part and other surface modification device provided with two or more said nozzles, with the second vessel 3 configured to have a depth larger than the height of the first vessel 1.

20 A metal part and other surface modification device, in which a substance with different acoustic impedance is arranged between said first and second vessels.

A metal part and other surface modification device whose lid on the first vessel 1 is closed with a predetermined force.

A metal part and other surface modification device provided with a means of heating or cooling the fluid in second vessel 3.

A metal part and other surface modification device, in which the part to be treated is loaded on a carriage that carries the part.

A metal part and other surface modification and cleaning method, in which first vessel 1, which is filled with a fluid, is placed on the part to be treated and the fluid flows into the first vessel 1 to pressurize first vessel 1 in the interior, with the collapsing impact force of the cavitation bubbles increased by injecting the pressurized fluid to generate cavitation in first vessel 1 which is pressurized so that the surface of the part to be treated can be strengthened and cleaned by applying a peening effect to the part under the impact force.

A metal part and other surface modification and cleaning method, in which the part to be treated is installed in first vessel 1, which is filled with a fluid, which in turn flows into first vessel 1 to pressurize first vessel 1 in the interior, with the collapsing impact force of the cavitation bubbles increased by the injection of the pressurized fluid to generate cavitation in the first vessel 1 which is pressurized so that the impact force is used to strengthen and clean the surface of the treated part by applying a peening effect to the part.

A metal part and other surface modification and cleaning device equipped with first vessel 1 placed on the part to be treated, with a nozzle to inject a pressurized fluid into first vessel 1, and with a nozzle to inject a cavitating jet into the pressurized fluid in first vessel 1 so that the collapsing impact force of the cavitation bubbles can be used to strengthen and clean the part to be treated on the surface by applying a peening effect to the surface of the part.

A metal part and other surface modification and cleaning device composed of the first vessel 1, a nozzle to introduce a pressurized fluid into first vessel 1, and a nozzle to inject a cavitating jet into the pressurized fluid in first vessel 1.

A metal part and other surface reforming and cleaning device configured to control the pressure of the fluid in first vessel 1 by a fluid pressure regulator means such as a valve or the like.

5 A metal part and other surface reforming and cleaning device, in which the part to be treated is immersed in the fluid in second vessel 3.

A metal part and other surface reforming and cleaning device, in which the part to be treated is placed above the surface of the fluid in second vessel 3.

A metal part and other surface modification and cleaning device provided with a means of cooling the cavitating jet fluid to be introduced into the first vessel 1.

10 A metal part and other surface modification and cleaning device, in which a pressurized fluid is introduced into first vessel 1 to effectively surround the cavitating jet fluid.

15 A metal part and other surface modification and cleaning method, in which the part to be treated, such a pipe-shaped part or conduit, has a fluid-pressurizing chamber formed in the pipe or conduit to inject a cavitating jet into such pressurized fluid and to increase the collapsing impact force of the cavitation bubbles so that the internal surface of the pipe may be strengthened and cleaned by using such impact force to apply a peening effect to the internal surface of the pipe.

20 A metal part and other surface modification and cleaning device equipped with first and second members to form a fluid-pressuring chamber in a pipe or conduit, with a nozzle to pour a pressurized fluid between said first and second members, and with a nozzle to inject a cavitating jet into the fluid pressurizing chamber, to strengthen and clean the surface of the treated part by using the collapsing impact force of the cavitation bubble to apply a peening effect to the surface of the part.

A metal part and other surface modification and cleaning device, in which either first and second members is provided with a fluid pressure regulator means to regulate the fluid pressure in the fluid-pressurizing chamber.

#### A Brief Description of the Figures:

5            Fig. 1 is a block diagram of the surface modification device involved in a first embodiment of the present invention.

            Fig. 2 is a block diagram of the surface modification device involved in a second embodiment of the present invention.

            Fig. 3 shows the pressurization data relating to the present invention.

10           Fig. 4 is a block diagram of the surface modification device involved in a third embodiment of the present invention.

            Fig. 5 is a block diagram of the surface modification device involved in fourth embodiment of the present invention.

            Fig. 6 illustrates the method of pressing a workpiece against first vessel 1 in Fig. 5.

15           Fig. 7 is a block diagram of the surface modification device involved in a fifth embodiment of the present invention.

            Fig. 8 is a block diagram of the surface modification device involved in a sixth embodiment of the present invention.

20           Fig. 9 shows the compressive residual stresses formed from the treatment of an alloy steel tool using the present invention.

            Fig. 10 shows the compressive residual stresses formed from the treatment of a carburized gear material using the present invention.

            Fig. 11 depicts an example of workpiece hardening.

#### Detailed Description of the Invention:

Based on the figures, the embodiments of the present invention are described in detail below.

Fig. 1 is a block diagram of the metal part and other surface modification device involved in the first embodiment.

5 In Fig. 1, is first vessel 1, which permits a workpiece to be delivered and placed with ease, is configured to be hermetically sealable by means of lid 2, to reform the surface of the workpiece.

10 A second vessel 3 is capable of accommodating identical first vessel 1, and has a depth larger than the height of first vessel 1 so that it can form appropriate space S in the periphery of the first vessel 1.

Nozzle 4 injects a cavitation jet into first vessel 1.

Conduit 5 supplies the nozzle with a high-pressure fluid from first vessel 1.

Control valve 6 regulates the high-pressure fluid flow rate.

Conduit 7 is a conduit through which the fluid is drained from first vessel 1.

15 Pressure control valve 8 is located in the said conduct to regulate the pressure in the first vessel 1.

The first vessel 1 may be provided with two or more nozzles. It is preferable, moreover, that flow control valve 6 is located in branched conduit 5a rather than directly in conduit 5 to couple high-pressure pump P and nozzle 4.

20 Workpiece W is placed within the first vessel 1 or hermetically sealed with the first vessel 1 which is filled with a fluid, such as water or oil, allowing the workpiece to be delivered and entered, with the space between the first vessels 1 and the second vessel 3 being filled with a fluid, such as water or oil.

The flow control valve 6, pressure control valve 8 and pump P are coupled with an  
25 electronic control device which is not illustrated. They are also controlled to attain an

optimum pressure, based on a signal from a pressure/temperature sensor which is not illustrated.

#### Specific Action (Operation) in the Embodiment Forms:

After being placed within first vessel 1, workpiece W is hermetically sealed with Lid 2 capable of being peened and closed. High-pressure water is injected from nozzle 4 to generate cavitation 9 around the jet so the cavitation bubbles hit against workpiece W. The collapsing impact force of the cavitation bubbles acts upon the surface of the workpiece, thereby bringing about a workpiece-hardening effect to the surface of the workpiece, an improvement of residual stresses and an enhancement of fatigue strength.

To increase the collapsing impact force of cavitation bubbles 9, flow control valve 6 is used to control the flow rate of the pressurized fluid flowing into first vessel 1 from nozzle 4 or pressure control valve 8 is used to control the flow rate of the fluid flowing from first vessel 1 to control the pressure of the fluid pressurized in first vessel 1.

If the first vessel 1 has any portion in gaseous phase, moreover, pressurization will require a certain time because the gaseous-phase portion is compressed with the pressurized water. In this embodiment, second vessel 3 has its depth increased so that first vessel 1 can be pressurized in a shorter time. And the pressure of the fluid filled in second vessel 3 is used to keep a specified pressure applied to first vessel 1. This permits first vessel 1 to be pressurized in a shorter time while allowing the gaseous phrase portion in first vessel 1 to be reduced to the minimum possible in a short time.

As referred to above, the present invention is capable of minimizing the gaseous phase portion in first vessel 1 to be pressurized. Consequently, it is possible to reduce the time required to pressurize first vessel 1.

In a case in which first vessel 1 has an optimum fluid pressure of 5 atmospheres, for example, it is assumed that first vessel 1 contains approximately 12 liters of air. Then,



approximately 1 minute is required to pressurize the vessel by means of a high-pressure pump having a capacity of 10 liters per minute. Consequently, the time equivalent to the actual working time (several seconds through several minutes, which could be reduced, depending upon the arrangement of the nozzle), would be wasted. With the present invention, first vessel 1 is immersed beforehand in the fluid filling second vessel 3. The air in first vessel 1, therefore, can be reduced to one-tenth or less while enabling a reduction of treatment time to one-tenth or less. Furthermore, in proportion to the depth of first vessel 1, a specified pressure is kept applied to first vessel 1. In the above-mentioned case, for example, it is possible to reduce the pressurizing time by 100% because the pressurization would take zero time when second vessel 3 has a water depth of 50 meters even if approximately 12 liters of air is stored in first vessel 1.

In comparison with the case where first vessel 1 is not pressurized as referred to above, the present embodiment allows for a successful achievement of desirable effects, such as a significant improvement of residual stresses, an enhancement of fatigue strength, a capability of inserting compressive residual stresses into the deep portion from the workpiece surface, higher efficiency (shorter time requirement), than the case without pressurization, together with the capability of hardening the surface of the workpiece.

Fig. 3 shows the pressurization data. In the figure, A shows the case with pressurization and B without pressurization while X stands for the depth at which residual stresses may be improved. Compared with the case without pressurization, the depth in which compressive residual stresses penetrate the surface of the workpiece is increased twice through 10 times or more with pressurization while the treatment time requirement is decreased by half through one-tenth. This value is attainable when the jet has a discharge pressure of 20 MPa, with a nozzle bore ranging from 0.4 to 0.8 millimeters. The larger the

nozzle and the greater the discharge pressure, the more conspicuously effective the pressurization will be.

The collapsing impact force of the cavitation bubbles is also dependent upon the fluid temperature. With second vessel 3 located in the periphery of first vessel 1, and with a fluid temperature control unit added to second vessel 3, the fluid in first vessel 1 can be kept at a constant temperature and controlled to a range of 30 to 60°, within which the cavitation bubbles come to have an optimum collapsing impact force. Unless second vessel 3 is provided, first vessel 1 will have a temperature rise, thereby damping the collapsing impact force of cavitation bubbles. At the same time, there are such hazardous possibilities that leakage may take place in the high-pressure pump, piping and/or first vessel 1, or may turn liable to break.

With water applied, cavitation bubbles have a collapsing impact force maximized at a temperature of 50°, intermediate between the boiling and melting points. In practical use, it would be hazardous if a high-pressure pump or piping had a high temperature (80° or more) at which their resistance to pressure would show an extreme drop. In this sense, first vessel 1 should preferably have a fluid temperature fall within a range of 30 through 60°.

Installing second vessel 3 allows for a reduction of the cavitation noise that takes place within first vessel 1. Inserting a substance with different acoustic impedance between the first and second vessels will enhance the reduction in noise effect.

With second vessel 3 installed, it is possible to eliminate the gaseous-phase portion, compressed gas, in first vessel 1 as much as possible. Even if leakage should take place from first vessel 1, it will be safe because the pressure in first vessel 1 instantaneously attenuates for few compressed portions exist and the fluid in first vessel 1 is non-compressive even if it leaks. If a gaseous phase portion should exist in first vessel 1, it is hazardous because the portion will inflate, thereby letting the fluid continue jetting out through the leaking point.

Cavitation bubbles have a collapsing impact force dependent upon the air content of the fluid in first vessel 1, too. If the fluid in first vessel 1 should have its air content increased as a result of exposure to the atmosphere, the cavitation bubbles will have its collapsing impact force attenuated. In other words, the treatment capability of the cavitating jet will be decreased. Installing second vessel 3, however, prevents the fluid in first vessel 1 from being exposed directly to the atmosphere. As a result, the fluid in first vessel 1 has its air content scarcely changed so that the cavitating jet can maintain nearly constant treatment capability.

Subsequently, the second embodiment of the present invention will be described, based on the figures.

Fig. 2 is a block diagram of the metal part and other surface modification device involved in the second embodiment.

The device in the second embodiment has a shallower second vessel 3 than that in the first embodiment. And the second embodiment is configured so that the fluid will overflow at the upper edge of first vessel 1 while allowing the treatment to be performed just like the first embodiment.

In the second embodiment, it is necessary to pressurize first vessel 1 in the interior. Similarly to the first embodiment, therefore, the second embodiment should have lid 2 closed so that the fluid may overflow through the clearance of lid 2. If a weight is placed on lid 1 of first vessel 1, or a spring with a specified spring constant is used to couple the lid with the vessel, a resistance can be applied to the opening of the lid to mechanically pressurize first vessel 1. This applied pressure is controllable using an electronic controller or the like.

A third embodiment of the present invention, will be described while referring to Fig. 4. In the figure, P is a fluid from the high-pressure pump, C a cavitating jet, D a lid to hermetically seal after inserting the workpiece, N a nozzle, W a workpiece and 6 and 10 flow control valves.

The third embodiment differs from the first and second embodiments in the method of draining the fluid from first vessel 1. In other words, the third embodiment has the fluid discharged into second vessel 3 by way of flow control valve 10. In addition, the fluid in second vessel 3 is drained from second vessel 3 to the exterior by way of flow control valve 8. This configuration allows for an effective elimination of residual bubbles within first vessel 1 after cavitation forms have collapsed.

Subsequently, embodiments 4 through 6 will be described, based on the figures. Embodiments 1 through 3 referred to above need to have the workpiece entirely placed within a hermetically sealable vessel filled with a fluid, such as water or the like. It is necessary, therefore, to provide first vessel 1, which is larger than the workpiece. It is difficult, therefore, to treat the surface of a long workpiece. Additionally, embodiments 1 through 3 could not be applied to structures such as a floor, a road, a bridge, and the like. In addition, they involve the problem of inability to treat the surface in the interior of a pipe or to clean the internal surface of the pipe.

Embodiments 4, 5 and 6, therefore, are described herein. Embodiments 4 and 5 allow for the hardening of the surface of the workpiece, to improve residual stresses and to enhance fatigue strength, with the collapsing impact force of the cavitation bubbles acting on the workpiece surface similarly to the above-mentioned embodiments even if the first vessel 1 to be pressurized is smaller than the workpiece. In addition, a description will be given about embodiment 6, which permits the internal surface of a pipe to be treated.

Fig. 5 depicts embodiment 4 of the present invention. Fig. 6 is an extended block diagram of first vessel 21 in embodiment 4.

In Fig. 5, 21 is the first vessel 21 to improve the surface of the workpiece. It is configured to have a size large enough to partially cover the surface of workpiece 22 as illustrated. First vessel 21 is supported with leg members 30, at the lower part of which

rollers 31 and others are arranged as shown in Fig. 6 so that first vessel 21 can move onto workpiece 22. leg members 30 are provided to straddle workpiece 22. Inside the first vessel 21, injection nozzle 24 is arranged to inject cavitating jet 28 into the vessel. The flowing path that communicates with nozzle 24 is provided with flow control valve 25. To move a high-  
5 pressure fluid into the first vessel 21, nozzle 26 is arranged inside the vessel. The flowing path that communicates with nozzle 26 is provided with pressure control valve 27. The first vessel 21 is provided with pumps which are not illustrated (centrifugal pump, vortex pump, etc.) to move a high-pressure fluid (pressure 0.1 through 10 kg/cm<sup>2</sup>) into first vessel 21. This permits the vessel to maintain a predetermined pressure. In the figure, H stands for a flow  
10 leaking from first vessel 21, G for the portion at which first vessel 21 has a surface blank, and a second vessel 29 that permits the workpiece to be delivered and enter freely.

In this instance, leg member 30 with roller 31 is configured to support first vessel 21. It is possible, however, to provide first vessel 21 at the lower part directly with roller 31 movable over workpiece 22. In either case, an appropriate clearance control means, for  
15 example magnet or the like, is provided to prevent the surface of workpiece 22 and first vessel 21 from opening too much, with first vessel 21 afloat due to an action of the high pressure liquid entering into the vessel. It is possible, furthermore, to insert an elastic material, such as spring or the like, between leg member 30 and first vessel 21 so that first vessel 21 can be braced on the workpiece side.

20 Embodiment 4 referred to above has the action described below.

Workpiece 22 is arranged in the fluid in the second vessel 29 and first vessel 21 is placed onto the surface of workpiece 22. Under this condition, a pressurizing fluid is introduced into first vessel 21 and cavitating jet 28 is injected from nozzle 24 into 21 or second vessel 31 to generate cavitation around the jet so that cavitation bubbles will strike  
25 workpiece 22. In this stage, the fluid pressure in first vessel 21 and the pressure in cavitating

jet 28 are controlled, respectively, with pressure control valve 27 and with flow control valve 25. The collapsing impact force of the cavitation bubbles act on the surfaces of the workpiece to bring about a hardening effect on the workpiece surface, an improvement of residual stresses and an enhancement of fatigue strength. The used fluid is discharged to the exterior through gaps between first vessel 21 and the workpiece.

In this embodiment, cavitating jet 28 is generated in the pressurized fluid inside the small-sized first vessel 21, which is placed on workpiece 22 which is immersed in the fluid inside second vessel 29 to partially treat the workpiece. Consequently, it is possible to minimize that portion of first vessel 21, which should be pressurized, so that the time required to pressurize first vessel 21 can be reduced to the minimum possible. Since portions of the workpiece are partially treated sequentially, it is also possible to treat even a large-sized workpiece with ease.

In this embodiment, the fluid will leak between the first vessel 21 and workpiece 22. It is necessary, therefore, to pour in a larger quantity of the pressurizing fluid than such leakage, with a pump other than the high-pressure one. Since introducing the fluid through the pump for such pressurization is not required to generate cavitation, an applicable pump may have a relatively low discharge pressure (discharge pressure 0.1 through 10 kg/cm<sup>2</sup>, or lower by 1/100 through 1/50 of the discharge pressure for a cavitating jet pump). Since a certain level of flow rate is required, however, it is preferred to employ a different type of pump (centrifugal pump, vortex pump, etc.) than a cavitating jet pump (generally a plunger pump, approximately 10 through 1,000 kilograms per square centimeter). Usually, a cavitating jet pump has a flow rate of several liters per minute through several ten liters per minute. It is difficult, therefore, to compensate for all the flow leaked from first vessel 21 pressed against the surface of the workpiece. A high-pressure fluid of a relatively low-pressure type other than the high pressure cavitating jet is introduced into first vessel 21.

As referred to above, this embodiment has a significant feature in the sense that the interior of first vessel 21 is pressurized by introducing a high-pressure fluid, other than the cavitating jet high-pressure fluid, into small sized first vessel 21. The fluid pressure in first vessel 21, is also controllable by controlling the opening/closing valve attached to the vessel.

5 Next, embodiment 5 is described while referring to Fig. 7.

Embodiment 5 is the case where workpiece 22 is arranged above the fluid surface without being immersed into the fluid in second vessel 29. In this instance, the configuration is similar to that in Embodiment 4, except that second vessel 29 has a water level lower than the surface of the workpiece. Included in this embodiment is that first vessel 21 is only arranged on the surface of the workpiece, with the second vessel 29 eliminated. In Fig. 7, furthermore, H stands for the flow of the leak from the first vessel 21.

Embodiments 4 and 5 above, are also applicable to the workpiece loaded on a carriage means, such as a conveyor belt or the like. For example, the workpiece is placed upon and moved to the bottom of the first vessel 21 by means of such carriage means. With the carriage means subsequently stopped, first vessel 21 is moved down to accommodate the workpiece in the interior. Under this condition, a cavitating high-pressure fluid jet is introduced into first vessel 21 so that the workpiece on the carriage means can be treated and cleaned similarly to each of the embodiments referred to above.

Embodiment 6 is now described.

20 Embodiment 6 is the case where the internal surface of a conduit formed in a pipe or a member is treated. In this instance, member No. 1 (first plug) and member No. 2 (second plug) are provided inside a pipe or conduit to treat the surface of the conduit between these two members.

In Fig. 8, 41 is the pipe or workpiece. Inside this pipe 41, first plug 42 and second plug 43 are arranged at predetermined intervals by means of connecting rod 44.

First plug 42 is slideable and sealed tightly on the internal surface of the pipe against leakage. On this first plug 42, fluid drain port 45 is formed and provided with valve 46 capable of closing the port. Valve 46 is pressed against port 45 by the bracing force of spring 47 or the like as illustrated. Once the fluid pressure in the interior has exceeded a specified level, the high-pressure fluid is discharged through port 45. For valve formation, the valve of another form is usable as far as it is functioning identically.

Second plug 43, furthermore, holds pipe 48 to introduce a pressurized fluid into the piping, and pipe 49 to introduce a high-pressure fluid for cavitation jet C. Second plug 43 is arranged to have a slight clearance 50 against the internal surface of the pipe in the surroundings. Pipes 48 and 49 are provided with pressure and flow control valves similarly to the embodiment forms referred to above so that the fluid pressure supplied from each pipe can be regulated. In the figure, 51 is the debris or pariculates attached to the pipe on the internal surface.

In this embodiment, first plug 42 and second plug 43, coupled by means of a connecting rod in the pipe, are arranged as illustrated to introduce an intra-pipe pressurization fluid between plugs 42 and 43. While keeping both plugs at a specified fluid pressure, the high-pressure fluid for cavitating jet C is introduced to clean the interior of the pipe. With the cavitating jet striking the pipe on the internal surface, it is possible to treat the surface on the internal surface of the pipe. In the treatment process, the fluid between first plug 42 and second plug 43 is discharged together with debris through gap 50 between second plug 43 and pipe 41. Thus, first plug 42 and second plug 43 have their positions gradually moved by an appropriate means so that the pipe can be cleaned and surface-treated on the entire internal surface of the pipe. The fluid pressure between first plug 42 and second plug 43, may be controlled by opening and closing those valves which are provided in either plug.



In this embodiment, moreover, first and second plugs are coupled by means of connecting rod 44. Nevertheless, a connecting string or the like may also be employed in the place of the connecting rod. In some circumstances, first and second plugs may not need to be coupled by means of a rod or string. In this case, it is necessary to fasten first and second plugs inside the pipe by some appropriate fastening means, such as friction or the like so that either plug will not move over the internal surface of the pipe due to the action of the high-pressure fluid during the treatment.

Fig. 9 shows the compressive residual stresses that are the result of treating with compressive residual stresses introduced into the tool alloy steel (forging die material) employed in the present invention. In Fig. 9, the material is SKD61, the nozzle diameter is 2 millimeters and the injection pressure is 30 MPa. With first vessel 21 pressurized (K in the figure), an enhancing treatment can be completed in 10 minutes. Without pressurizing the vessel (J in the figure), 150 minutes are required while compressive residual stresses remain at a level of approximately 60%.

Fig. 10 depicts the compressive residual stresses that are the result of treating with compressive residual stresses introduced to carburized gear material employed in the present invention. In Fig. 10, the nozzle has a diameter of 2 millimeters, an injection pressure of 30 MPa and a pressurizing pressure 0.32 MPa.

Fig. 11 shows an example comparing the workpiece hardening, with a nozzle diameter of 2 millimeters, an injection pressure of 30 MPa and treatment pressure 0.32 MPa.

As referred to above, embodiment 5 requires the pressurizing of a first vessel 21 smaller than that of the workpiece. Even the surface of a long steel plate, a large-sized die or the like, which cannot be placed within first vessel 21, can be treated with ease. Moreover, the present process, is applicable to floor cleaning by a cavitating jet. Additionally, the pressurizing water to be poured into first vessel 21 may be provided separately from the

pressurizing water for the cavitating jet so that the equipment can be set up at a lower cost without the necessity of providing a large-capacity plunger pump.

In Embodiment 6, it is also possible to readily treat and clean the internal surface of a pipe, with a pressurizing section formed inside the pipe.

5 Described above are a variety of embodiment forms involved in the present invention. Nevertheless, flow control valves, pressure valves and the like are available in either automatic or manual control types. For fluid, either water or oil and the like are applicable. In each embodiment referred to above, the fluid may have its temperature rise excessively because the motor power may change into heat through a cavitating jet when it is introduced  
10 into first vessel 21. In this case, the pressure in first vessel 21 is utilized to cool down the fluid in first vessel 21 by sending the fluid to various cooling means known to the public other than first vessel 21. Later, it is possible to re-supply the pump with the fluid again. If such a technique of feeding the fluid pressure in first vessel 21 to another cooling means is employed, it is unnecessary to provide a new pump to send the fluid in first vessel 21 to the  
15 cooling means so that the fluid can be readily cooled down in reality.

To introduce the cavitating jet and pressurizing fluid into first vessel 21, it is possible to arrange both cavitation jet nozzle and pressurizing water nozzle adjacently in each of the embodiments referred to above. In addition, a cavitating jet nozzle may be located at the center of the vessel and the pressurizing water nozzles may be arranged to surround the  
20 former so that the cavitation jet can strike the workpiece as if it were surrounded by the pressurizing water.

In addition, it is possible to change the positional relationship between the cavitation jet nozzle and pressuring water nozzle to another form as required. It is possible, as might be required, to freely set the arrangement of the workpiece, based on its shape. As an example, it  
25 is possible to form the nozzle itself as an integral part of the vessel.

The present invention may also be embodied in any other forms without departing from its spirits and/or principal features. In this sense, the embodiments referred to above are given for the purpose of example and must by no means be interpreted in any restrictive sense.

## 5 Industrial Usability

With the prevent invention as described in detail above, the workpiece is placed within first vessel 21, which is in turn hermetically sealed. Then, a high-pressure fluid is injected from a nozzle to generate the cavitation around the jet to strike cavitation bubbles against the workpiece. Consequently, the collapsing impact force of the cavitation bubbles act on the workpiece, thereby bringing about the surface modification and cleaning effects, such as workpiece hardening, residual stress improvement, fatigue strength enhancement and so on. In a case in which a method of placing first vessel 21 on the workpiece is employed, it is also possible to improve the surface of a long steel plate, a large-sized die and the like. In addition, it is also applicable for cleaning the floor by a cavitating jet. Forming a pressurizing section in a pipe or conduct, will also permit the internal surface of the pipe to be treated and cleaned. If the pressurized water introduced into first vessel 21 is provided apart from the cavitating jet pressurizing water, it is also possible to set up the equipment at a lower cost without the necessity of a large-flow plunger pump. Such excellent effects as referred to above could be brought about by the present invention.

## Claims

1. A metal part and other surface modification and cleaning method, in which the part to be treated is placed within a first vessel which is filled with a fluid, which flows through the first vessel located at a distance from the surface of said part and drains from the first vessel, with fluid flow rates controlled to pressurize the first vessel to increase the collapsing impact force of the cavitation bubbles, which in turn applies a peening effect to the surface of the part to strengthen and clean the surface of the treated part.

2. A metal part and other surface modification and cleaning method, in which the part to be treated is placed within a first vessel, which is filled with a fluid, and said first vessel is placed within a second vessel, which is filled with a fluid, to generate cavitation injecting pressurized fluid from a nozzle to generate cavitation, said nozzle separated from said part surface so that the collapsing impact force of the cavitation bubbles may be used to strengthen and clean the surface of the treated part by applying a peening effect to the surface of the part.

3. A metal part and other surface modification and cleaning method according to Claim 2 above, in which the first vessel is pressurized by controlling the flow rates of both fluids flowing into and out of said first vessel 1 to increase the collapsing impact force of the cavitation bubbles and to strengthen and clean the treated part by applying a peening effect under such impact force.

4. A metal part and other surface modification and cleaning method according to Claim 2 or 3 above, in which a substance with different acoustic impedance is inserted between said first and second vessels.

5. A metal part and other surface modification and cleaning method according to ant one of Claims 2 through 4, in which the fluid in said first vessel has its temperature controlled

by controlling the temperature of the fluid that fills the space between said first and second vessels.

6. A metal part and other surface modification and cleaning method according to either one of Claims 1 through 5 above, in which the cavitating jet to be injected into said first vessel is sent to the cooling means from said first vessel 1 and returned to a cavitating jet pump after being cooled in said cooling means.

7. A metal part and other surface modification device composed of a first vessel capable of accommodating the part to be treated, a lid hermetically enclosing said first vessel, a second vessel capable of accommodating said first vessel, a nozzle to inject a pressurized fluid into the pressurized fluid, a flow rate control valve to control the jet pressure from said nozzle and a pressure control valve to control the fluid pressure in said first vessel.

8. A metal part and other surface reforming device according to Claim 7 above, in which two or more of said nozzles are provided

9. A metal part and other surface modification device according to any one of Claims 6 through 8 above, in which said second vessel is configured to have a larger depth than a height of said first vessel.

10. A metal part and other surface modification device according to any one of Claims 6 through 9, in which a substance with different acoustic impedance is arranged between said first and second vessels.

11. A metal part and other surface modification device according to any one of Claims 6 through 9 above, in which the lid on said first vessel is closed with a specified force.

12. A metal part and other surface modification device according to any one of Claims 6 through 11 above, in which a means of heating or cooling the fluid in said second vessel is provided.

13. A metal part and other surface modification device according to any one of Claims 6 through 12 above, in which said part to be treated is loaded on a carriage means to carry such part to be treated.

14. A metal part and other surface modification and cleaning method, in which a first vessel, which is filled with a fluid, is placed on a part to be treated and the fluid is introduced into said first vessel to pressurize the first vessel interior, with the collapsing impact force of the cavitation bubbles increased by injecting the pressurized fluid to generate cavitation in said first vessel so that said impact force may be used to treat, strengthen and clean the surface of the part by applying a peening effect to the part.

15. A metal part and other surface modification and cleaning method, in which the part to be treated is placed within a first vessel which is filled with a fluid, which is in turn introduced into said first vessel to pressurize said first vessel in the interior, with the collapsing impact force of the cavitation bubbles increased by injecting the pressurized fluid to generate cavitation in said first vessel so that said impact force may be used to treat, strengthen and clean the surface of the part by applying a peening effect to the part.

16. A metal part and other surface modification and cleaning device equipped with a first vessel placed on the part to be treated, with a nozzle to inject a pressurized fluid into said first vessel, and with a nozzle to inject a cavitating jet into the pressurized fluid in said first vessel to strengthen and clean the surface of the part to be treated by applying a peening effect to the surface of the part under the collapsing impact force of the cavitation foam.

17. A metal part and other surface modification and cleaning device according to Claim 16 above, in which such device is an integral part of said first vessel, a nozzle to introduce a pressurized fluid into said first vessel, and a nozzle to inject a cavitating jet into the pressurized fluid in said first vessel.

18. A metal part and other surface modification and cleaning device according to either Claim 16 or 17 above, in which such device is so configured as to control the pressure of the fluid in said first vessel by such a fluid pressure regulator means as a valve or the like.

19. A metal part and other surface modification and cleaning device according to any one of Claims 16 through 18 above, in which said part to be treated is immersed in the fluid in a second vessel.

20. A metal part and other surface modification and cleaning device according to Claim 19 above, in which said part to be treated is placed above the surface of the fluid filled in said second vessel.

21. A metal part and other surface modification and cleaning device according to any one of Claims 7 through 20 above, in which a means of cooling the cavitating jet fluid to be introduced into said first vessel is provided.

22. A metal part and other surface modification and cleaning device according to any one of Claims 16 through 21, in which a pressurized fluid is poured into said first vessel as if it surrounded the cavitating jet fluid.

23. A metal part and other surface modification and cleaning method, in which the part to be treated, such a pipe-shaped part or conduit and the like, has a fluid-pressurizing chamber formed within the pipe or conduit to inject a cavitating jet into such pressurized fluid and to increase the collapsing impact force of the cavitation bubble so that the internal surface of the pipe may be strengthened and cleaned by using such impact force to apply a peening effect to the internal surface of the pipe.

24. A metal part and other surface modification and cleaning device equipped with first and second members to form a fluid-pressuring chamber in a pipe or conduit, with a nozzle to pour a pressurized fluid between said first and second members, and with a nozzle to inject a cavitating jet into said fluid pressurizing chamber, to strengthen and clean the

surface of the treated part by using the collapsing impact force of the cavitation foam to apply a peening effect to the surface of the part.

25. A metal part and other surface modification and cleaning device according to Claim 24 above, in which either said first member or said second member is provided with a fluid pressure regulator means to regulate the fluid pressure in the fluid-pressurizing chamber.

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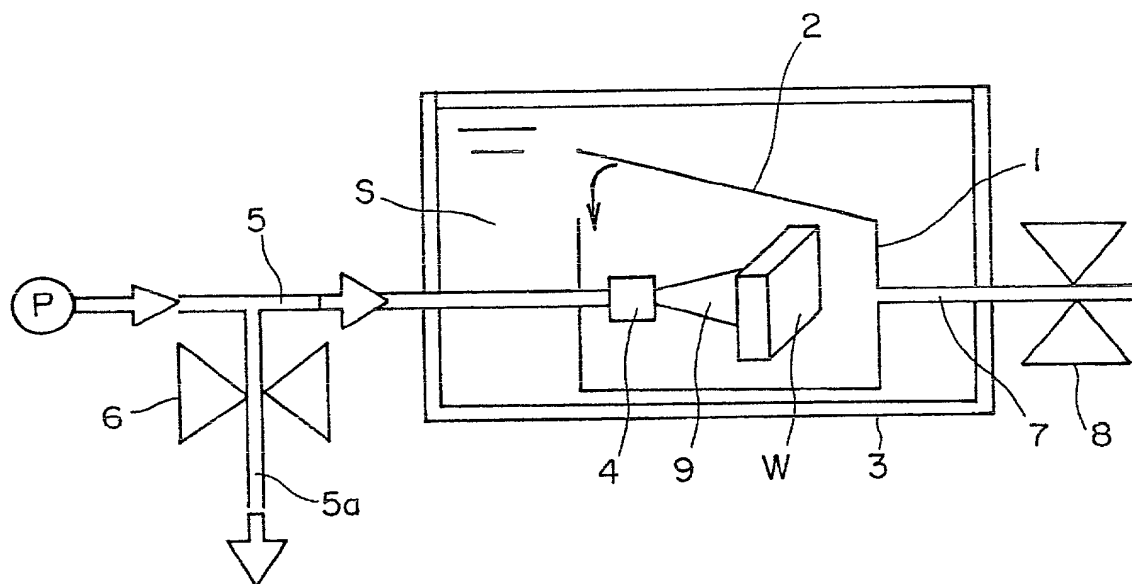
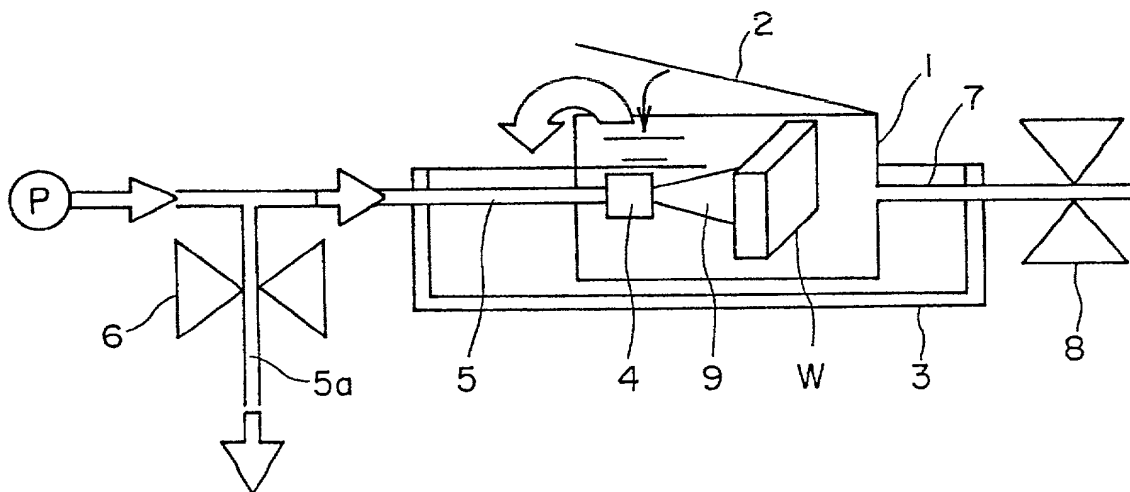
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## ABSTRACT

This invention relates to a metal part and other surface modification method suitable for the machining industry in which shot peening is typically used to refine the surface of a metal part (to introduce compressive residual stresses, to enhance fatigue strength, to harden the workpiece) and for fields in which parts need be cleaned. According to the present invention, workpiece W is placed within a first vessel which is filled with a fluid. The first vessel is pressurized by controlling the flow rate of the fluid flowing in the first vessel from nozzle 4 distant from said workpiece on the surface and of the fluid flowing from first vessel. Thus, the collapsing impact force of cavitation bubbles is increased so that the machined part will have its surface strengthened and cleaned by applying a peening effect to the surface of the part with said impact force.

**FIG. 1****FIG. 2**

**FIG. 4**

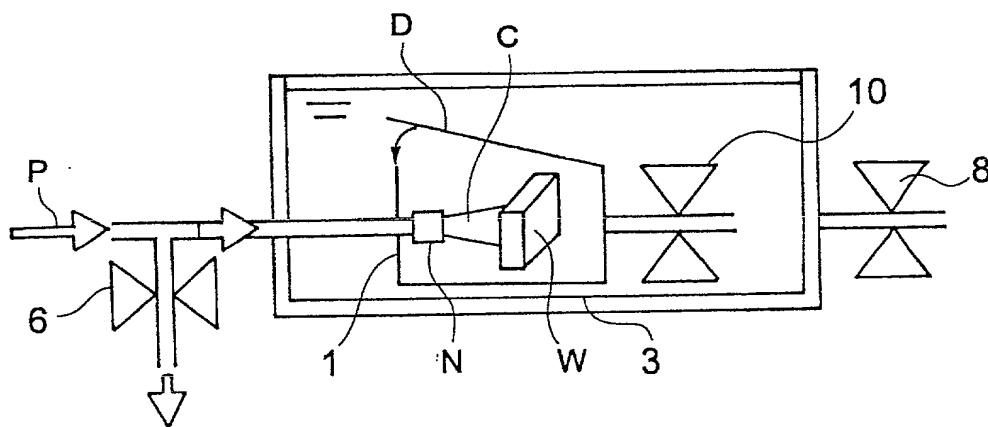


FIG. 5

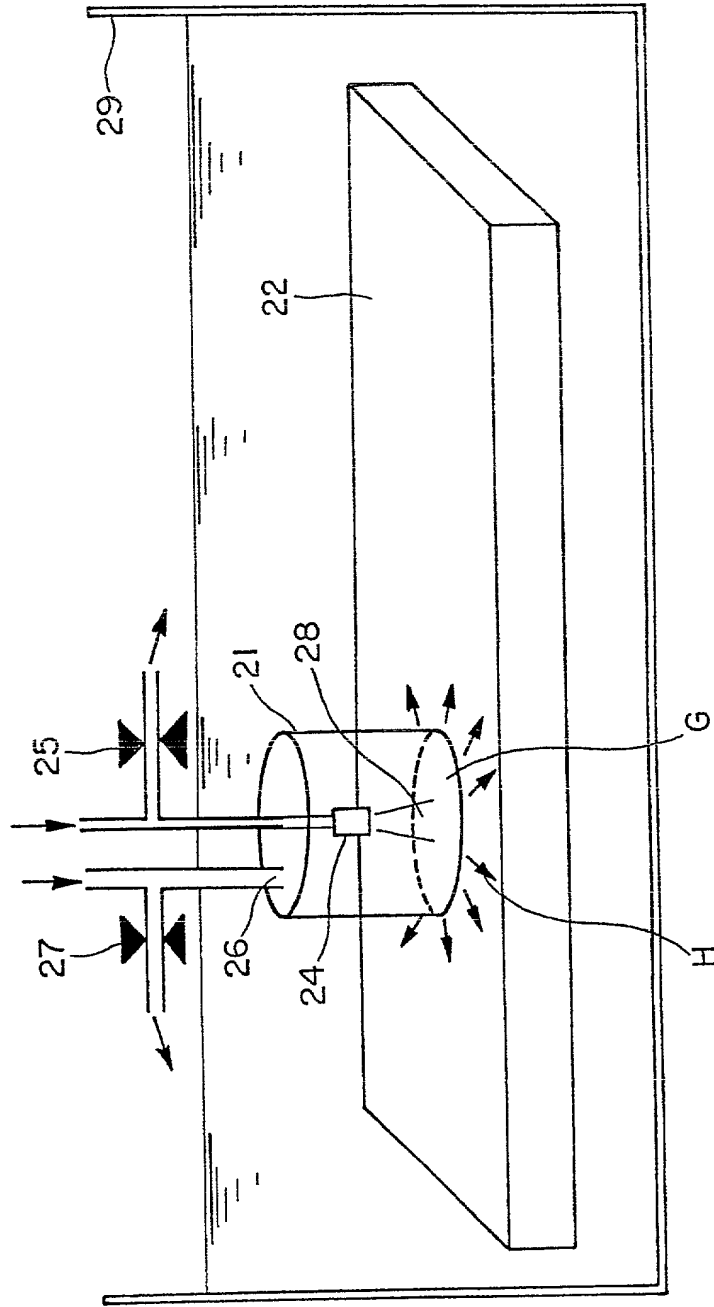


FIG. 6

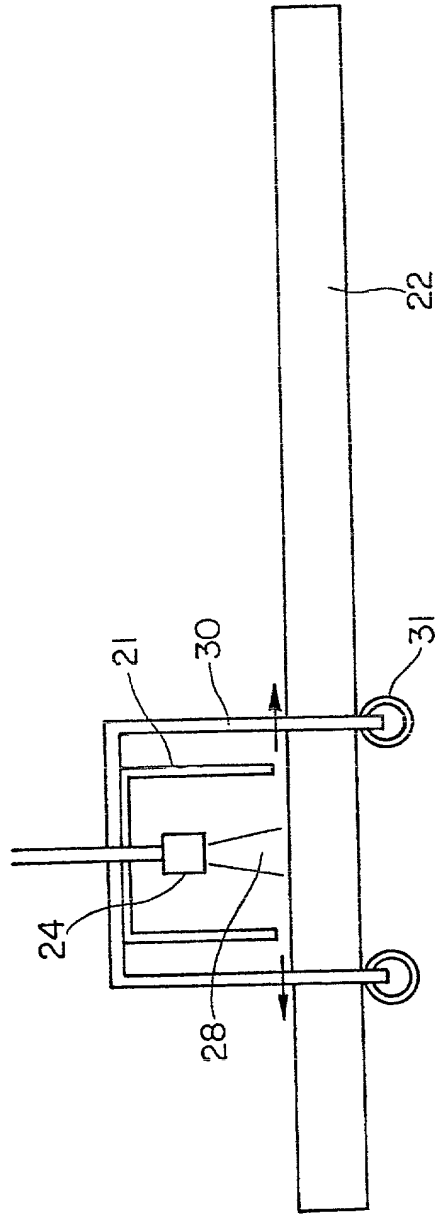


FIG. 7

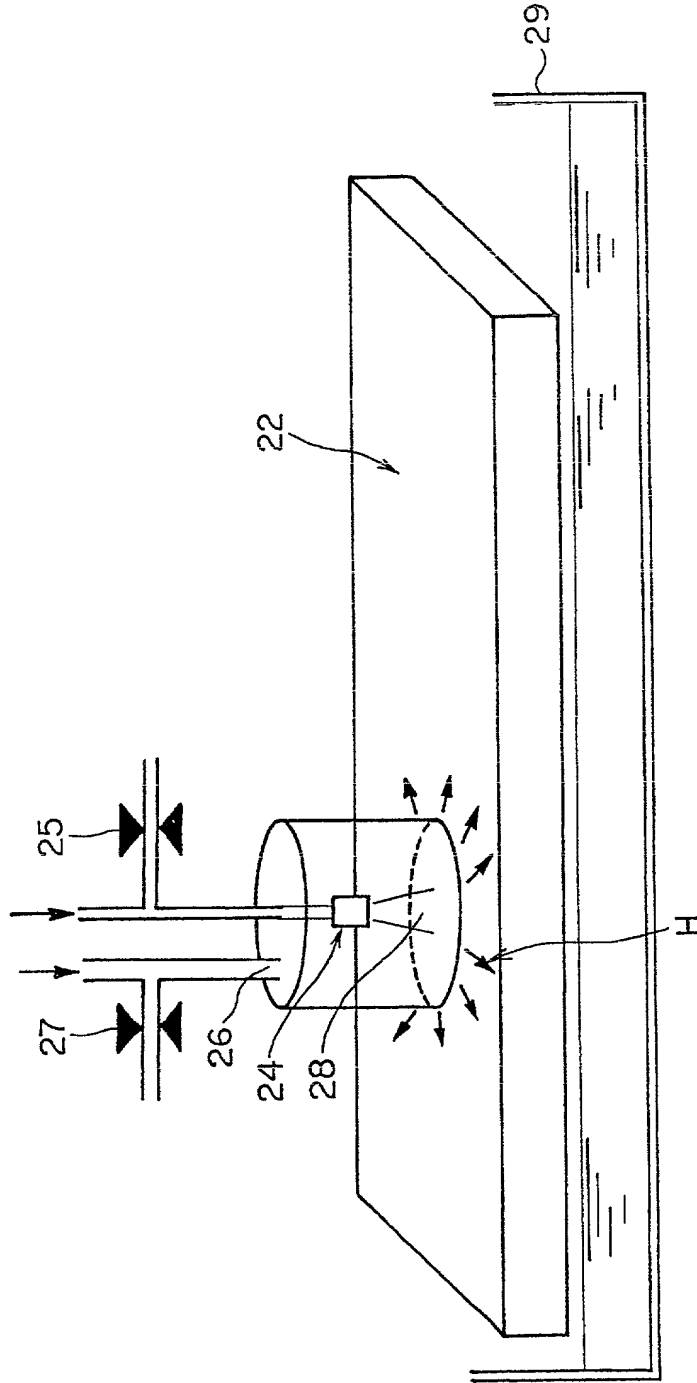


FIG. 8

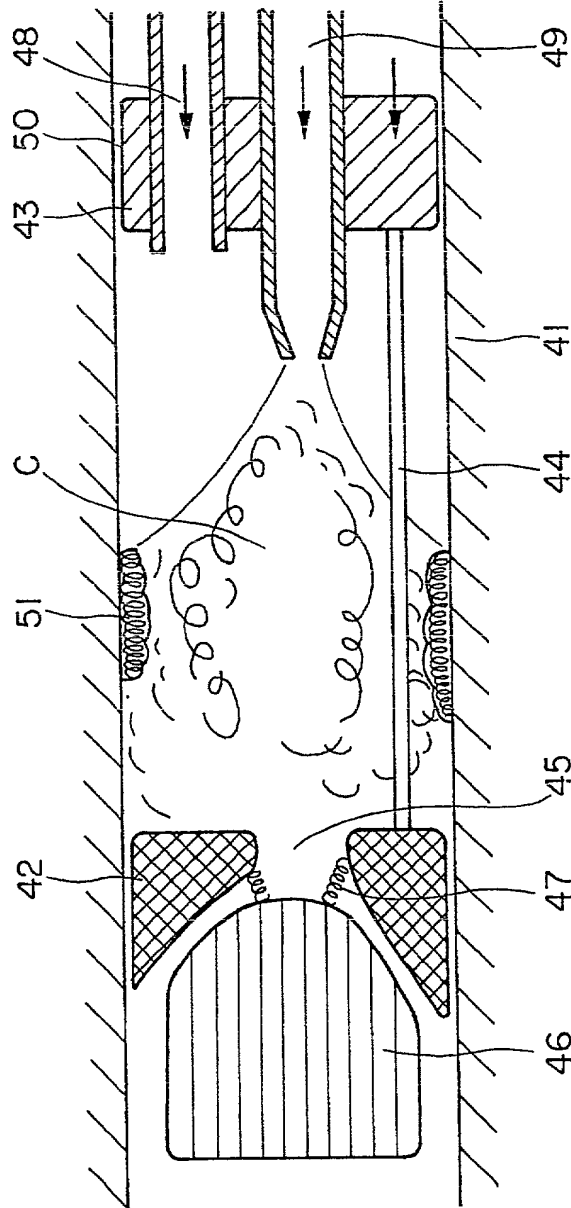
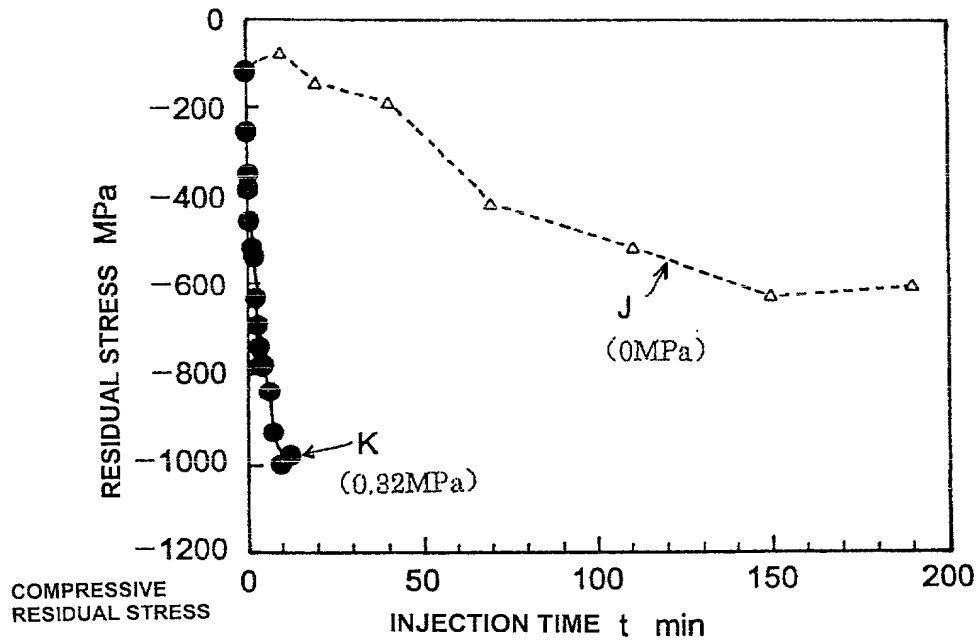
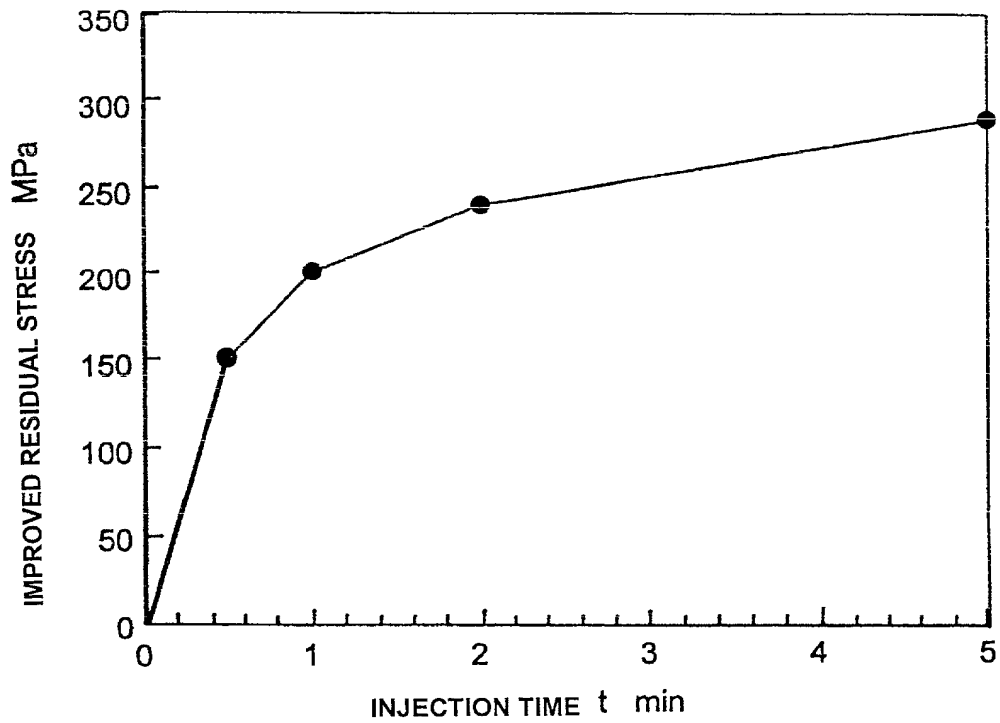


FIG. 9

TENSILE RESIDUAL STRESS





**FIG. 10**

**FIG. 11**

MATERIAL	SURFACE BEFORE PEENING	SURFACE AFTER PEENING	HARDNESS
SKD61	633	800	Vickers Hv
SKD61	83	86	Rockwell Superficial HR 15N
SUP7	76	78	Rockwell Superficial HR 15N
SUS304	55	57	Rockwell Superficial HR 15N
Titanium	55	60	Rockwell Superficial HR 15N
S20C	51	61	Rockwell Superficial HR 15N

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		<b>First Named Inventor</b>		<b>Hitoshi SOYAMA</b>																														
		<b>COMPLETE IF KNOWN</b>																																
		<b>Application No.</b>		To be assigned																														
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				<b>Examiner Name</b>																														
<p>As a below named inventor, I hereby declare that:</p> <p>My residence, post office address, and citizenship are as stated below next to my name.</p> <p>I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:</p> <div style="border: 1px solid black; padding: 10px; text-align: center; margin: 10px auto; width: 80%;"> <b>METHOD AND DEVICES FOR PEENING AND CLEANING METAL SURFACES</b> </div> <p style="text-align: center;"><i>(Title of the Invention)</i></p> <p>the specification of which</p> <p><input checked="" type="checkbox"/> is attached hereto</p> <p style="padding-left: 40px;">or</p> <p><input type="checkbox"/> was filed on _____, as United States Application Number _____</p> <p>I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.</p> <p>I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.</p> <p>I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th rowspan="2">Prior Foreign Application Number(s)</th> <th rowspan="2">Country</th> <th rowspan="2">Foreign Filing Date (MM/DD/YY)</th> <th rowspan="2">Priority Not claimed</th> <th colspan="2">Certified Copy Attached</th> </tr> <tr> <th>YES</th> <th>NO</th> </tr> </thead> <tbody> <tr> <td>11-5947</td> <td>JAPAN</td> <td>01/13/1999</td> <td></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>11-322561</td> <td>JAPAN</td> <td>11/12/1999</td> <td></td> <td><input type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> </tbody> </table> <p><input type="checkbox"/> Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:</p> <p>I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Application Number (s)</th> <th>Filing Date (MM/DD/YY)</th> <th rowspan="4"> <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.         </th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table>						Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not claimed	Certified Copy Attached		YES	NO	11-5947	JAPAN	01/13/1999		<input type="checkbox"/>	<input type="checkbox"/>	11-322561	JAPAN	11/12/1999		<input type="checkbox"/>	<input type="checkbox"/>	Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.						
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**DECLARATION - Utility Or Design Patent Application**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 23353

Or

☐ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
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Name	Registration No.	Name	Registration No.
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Michael B. Stewart	36,018	Eugene G. Byrd	47,361
Mark A. Davis	37,118		
Alexander D. Rabinovich	37,425		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name of Sole or First Inventor** ☐ A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any])	Family Name or Surname
<u>Hitoshi</u>	<u>SOYAMA</u>

Inventor's Signature	<u>Hitoshi Soyama</u>	Dated	<u>May 23, 2001</u>
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☐ Additional inventors are being named on the 1 supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto.